日本物理学会2014年年次大会領域4チュートリアル講演



超伝導体におけるマヨラナ粒子 ~実現と検出への展望~

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Outline

1. Introduction

(i) Basic features of Majorana fermions in topological superconductors

(ii) Possible realization

2. Why interesting

~ Exotic phenomena and possible experimental-detection scheme ~

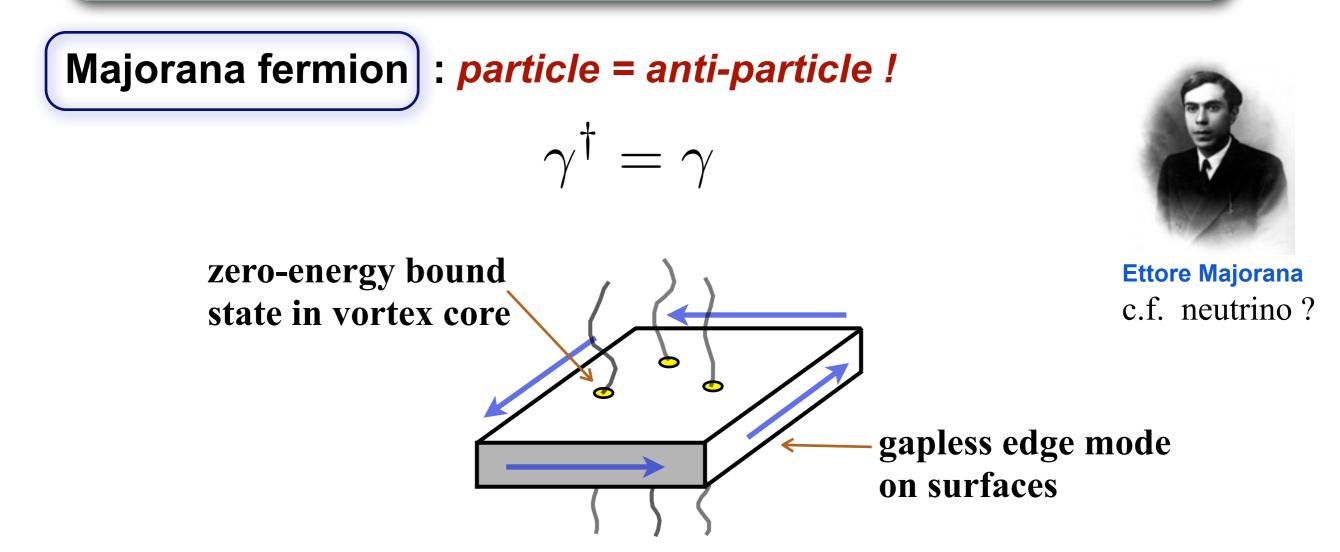
(i) Non-Abelian statistics

(ii) Non-local correlation and "teleportation"

(iii) Majorana fermion as "fractionalization" of electron

(iv) Thermal responses

Majorana fermions in superconductors : Introduction



Majorana fermion in SC: equal-weight superposition of electron and hole

Bogoliubov quasiparticle
$$\gamma^{\dagger} = \int dm{r} [u_E(m{r}) c^{\dagger}(m{r}) + v_E(m{r}) c(m{r})]$$

Spinless p+ip SC

Bogoliubov quasiparticle
$$\gamma^{\dagger} = \int d\mathbf{r} [u_E(\mathbf{r})c^{\dagger}(\mathbf{r}) + v_E(\mathbf{r})c(\mathbf{r})]$$

Because of p-h symmetry of BCS Hamiltonian

$$\Gamma \hat{\mathcal{H}} \Gamma^{-1} = -\hat{\mathcal{H}}^* \qquad \Gamma = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \qquad \begin{array}{c} \text{N.B.} \\ \Gamma^2 = 1 \end{array}$$

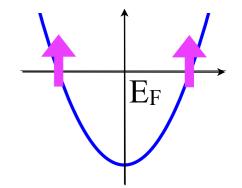
if
$$\hat{\mathcal{H}}\phi = E\phi$$
 then $\hat{\mathcal{H}}\Gamma\phi^* = -E\Gamma\phi^*$ $\phi^T = (u,v)$

→ If there is only one independent zero energy solution of BdG eq.

$$\phi = \Gamma \phi^* \twoheadrightarrow u_0^* = v_0 \twoheadrightarrow \gamma^\dagger = \gamma$$

Non-degenerate zero energy Bogoliubov quasiparticle is Majorana !! equal-weight superposition of electron and hole !!

This argument also applies to spin-triplet SC, spin-singlet with SO int. etc. (class D, DIII, BDI)



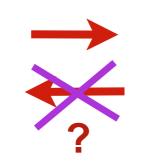
How about the case that zero-energy modes are degenerate ?

SU(2) spin symmetry implies two degenerate class C, CI, (spin-singlet SC) zero-energy states, if they exist $\gamma_1 = \int dr [u_{\uparrow}(r)c_{\uparrow}(r) - v_{\downarrow}(r)c_{\downarrow}^{\dagger}(r)]$ $\gamma_2 = \int dr [u_{\downarrow}(r)c_{\downarrow}(r) + v_{\uparrow}(r)c_{\uparrow}^{\dagger}(r)]$ $\phi_1^T = (u_\uparrow, 0, 0, -v_\downarrow)$ $\phi_2^{T} = (0, u_{\downarrow}, v_{\uparrow}, 0)$ ($\phi^T = (u_{\uparrow}, u_{\downarrow}, v_{\uparrow}, -v_{\downarrow})$) p-h conjugate p-h conjugate $(\Gamma\phi_2)^{*T} = (-iv_{\uparrow}^*, 0, 0, -iu_{\downarrow}^*)$ $(\Gamma\phi_1)^{*T} = (0, iv_{\perp}^*, -iu_{\uparrow}^*, 0)$ If there are only two zero-energy modes, $\mathcal{H}\phi = E\phi$ $\rightarrow \phi_1 = (\Gamma \phi_2)^* \quad \phi_2 = (\Gamma \phi_1)^*$ $\hat{\mathcal{H}}(\Gamma\phi)^* = -E(\Gamma\phi)^*$ $\bullet u_{\uparrow} = -iv_{\uparrow}^* \qquad \gamma_1^{\dagger} = i\gamma_2$ $\Gamma = i \begin{pmatrix} 0 & 1_{2 \times 2} \\ 1_{2 \times 2} & 0 \end{pmatrix} \quad \begin{array}{c} \mathsf{N.B.} \\ \Gamma^2 = -1 \end{array}$ $u_{\downarrow} = i v_{\downarrow}^* \qquad \qquad \gamma_2^{\dagger} = i \gamma_1$ $\tilde{\gamma}_{1} = \frac{1}{2}(\gamma_{1} + i\gamma_{2}) \quad \tilde{\gamma}_{2} = \frac{1}{2i}(\gamma_{1} - i\gamma_{2}) \quad \Rightarrow \quad \tilde{\gamma}_{1}^{\dagger} = \tilde{\gamma}_{1} \quad \tilde{\gamma}_{2}^{\dagger} = \tilde{\gamma}_{2}$ Majorana !!

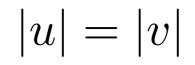
equal-weight superposition of electron and hole !!

 $\gamma^{\dagger} = \int d\boldsymbol{r} [u_E(\boldsymbol{r})c^{\dagger}(\boldsymbol{r}) + v_E(\boldsymbol{r})c(\boldsymbol{r})]$

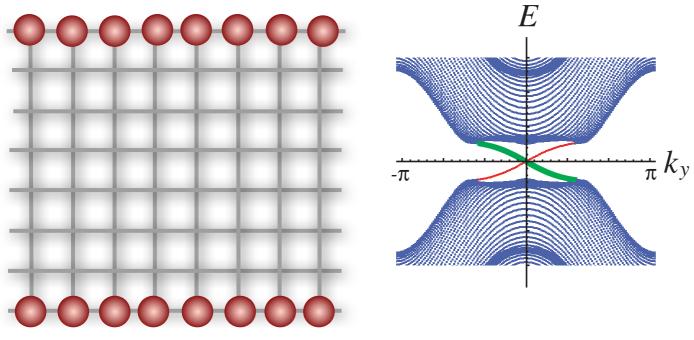
zero-energy Bogoliubov quasiparticle in SC



equal-weight superposition of electron and hole

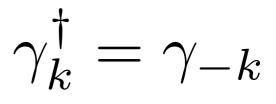


Majorana edge state



Majorana fermions with nonzero energy satisfy |u| = |v|

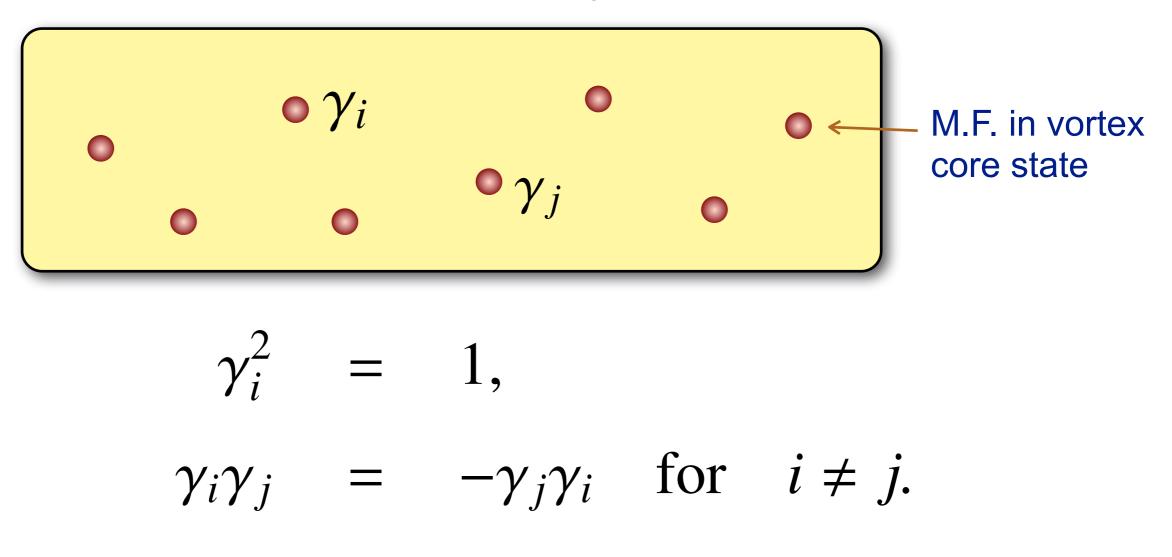
Majorana condition for nonzero energy states



2D top. SC

Bogoliubov q.p. with |u| = |v| is Majorana

Anti-commutation relation of Majorana fields holds



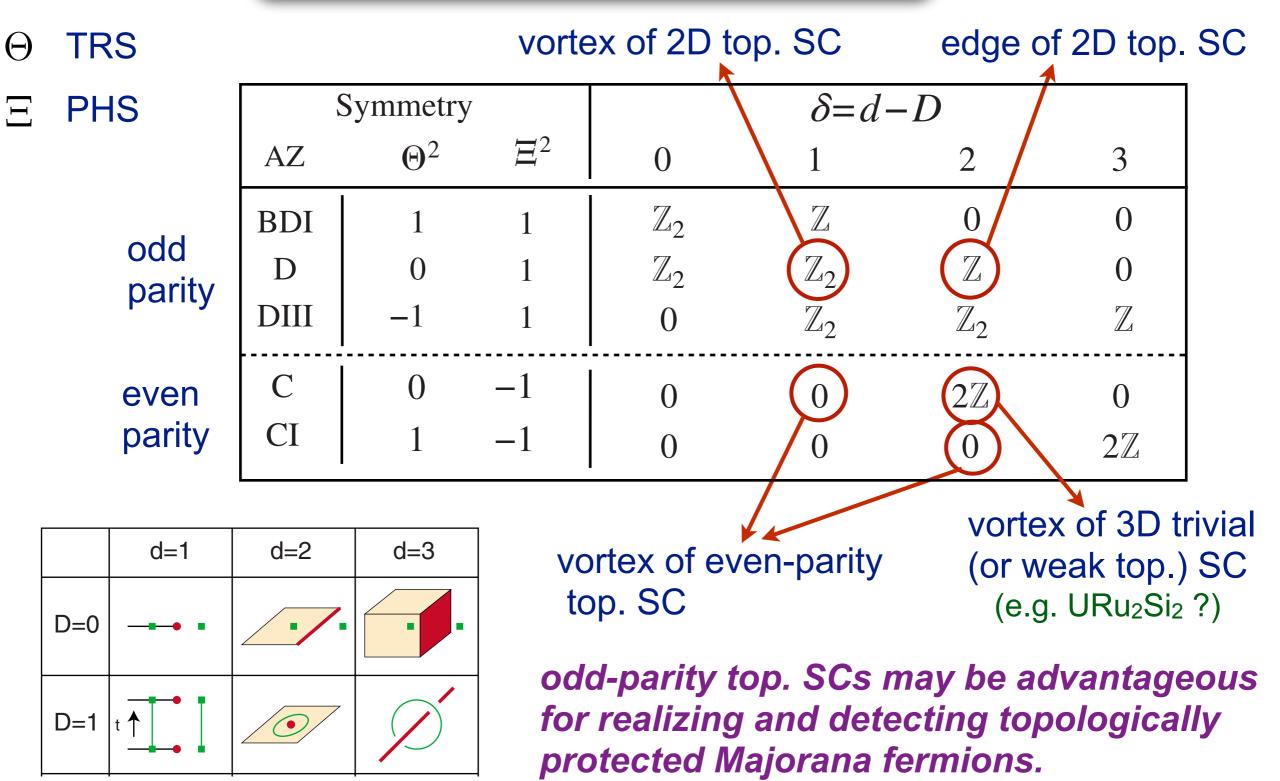
follow from

$$\gamma_i = \sqrt{2} \sum_{\sigma} \int d\boldsymbol{r} [u_{i\sigma}(\boldsymbol{r}) \psi_{\sigma}(\boldsymbol{r}) + u_{i\sigma}^*(\boldsymbol{r}) \psi_{\sigma}^{\dagger}(\boldsymbol{r})]$$

 $\{\psi(\boldsymbol{r}),\psi(\boldsymbol{r}')\}=0\qquad\qquad \{\psi(\boldsymbol{r}),\psi^{\dagger}(\boldsymbol{r}')\}=\delta(\boldsymbol{r}-\boldsymbol{r}')$

Topology and Majorana Fermion

(Schnyder, Ryu, Furusaki, Ludwig; Kitaev; Teo, Kane)



crystalline symmetry (mirror, mirror +TRS, etc.) provides topological protection of M.F. even for trivial classes above (Fang, Gilbert, Bernevig; Shiozaki, Sato)

Possible realization

2D class D with broken TRS

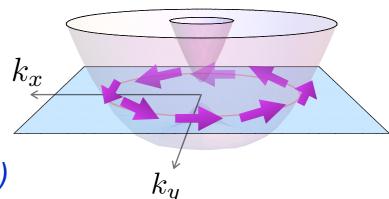
- Sr₂RuO₄ (Maeno et al.)
- spin-singlet SC with strong spin-orbit interaction and Zeeman fields

(Sato, Takahashi, S.F.; Sau et al.; Alicea; Luchyn et al.; Oreg et al.)

- Proximity-induced SC on surface of top. insulator (L. Fu,C. L. Kane) (TRS must be broken by magnetic fields)
- spin-singlet SC coupled with spiral magnetic order (Braunecker, Simon; Klinovaja et al.; Vazifeh, Franz; Nakosai, Tanaka, Nagaosa)

class DIII with TRS

- Helium 3, B phase
- Cu_xBi₂Se₃ (Fu, Berg; Sasaki et al.)



superconductor

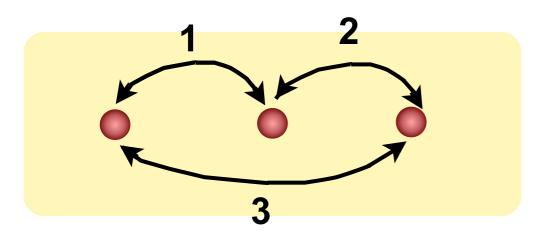
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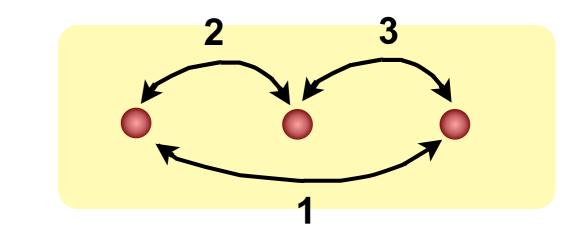
Why interesting ~ Exotic phenomena and possible detection scheme ~

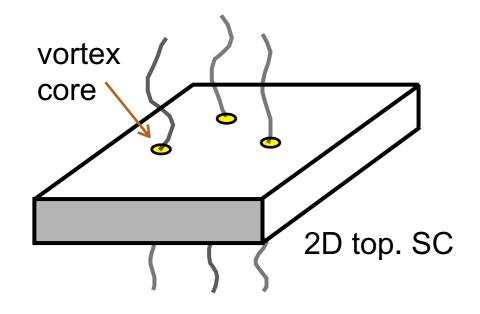
Non-Abelian Statistics

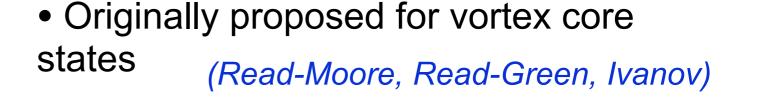
Non-Abelian statistics

exchange (braiding) of particles is non-commutative !!

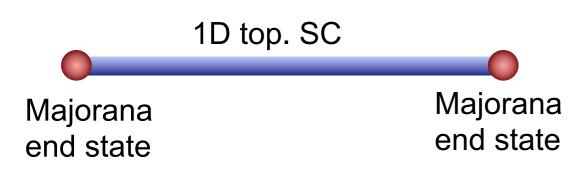








 Edge states *without vortices* also obey non-Abelian statistics



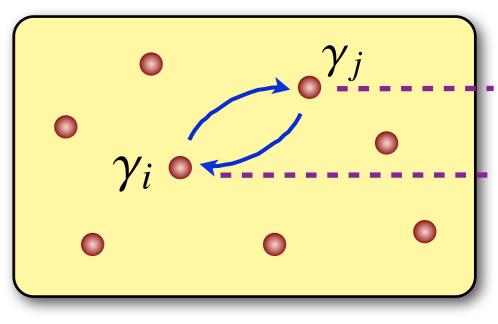
General properties of Majorana zero-energy state in topological SC.

Exchange (braiding) operation of Majorana zero modes

$$\gamma_i \rightarrow \gamma_j, \quad \gamma_j \rightarrow -\gamma_i$$

Sign change is not due to vortex, but due to Fermion-parity conservation !!

(Clarke, Sau, Tewari; Halperin et al.)



 U_{12} : unitary operation for braiding of γ_1 and γ_2

$$s_2 \gamma_2 = U_{12} \gamma_1 U_{12}^{\dagger}$$
 $s_1 \gamma_1 = U_{12} \gamma_2 U_{12}^{\dagger}$

(G.S. is separated from excited states by finite energy gap)

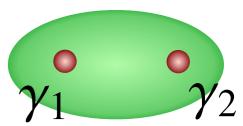
 S_1 S_2 : phase factor

From
$$s_1^2 \gamma_1^2 = s_2^2 \gamma_2^2 = 1$$
 and $\begin{array}{c} \gamma_1^2 = 1 \\ \gamma_2^2 = 1 \end{array} \longrightarrow \begin{array}{c} s_1 = \pm 1 \\ s_2 = \pm 1 \end{array}$

$$s_2 \gamma_2 = U_{12} \gamma_1 U_{12}^{\dagger}$$
 $s_1 \gamma_1 = U_{12} \gamma_2 U_{12}^{\dagger}$ $s_1 = \pm 1$
 $s_2 = \pm 1$

How the occupation number of complex fermion $\psi_{12} = (\gamma_1 + i\gamma_2)/2$ is changed by braiding of Majorana zero modes ?

$$n_{12} = \psi_{12}^{\dagger} \psi_{12} = \frac{1}{2} (1 + i\gamma_1 \gamma_2).$$



$$\rightarrow$$

$$U_{12}n_{12}U_{12}^{\dagger} = \frac{1}{2} + \frac{i}{2}U_{12}\gamma_{1}\gamma_{2}U_{12}^{\dagger} = \frac{1}{2} - \frac{i}{2}s_{1}s_{2}\gamma_{1}\gamma_{2}$$

If γ_1 and γ_2 are sufficiently far from other Majorana fermions, Fermion-parity of n_{12} is not changed by U_{12}

 $\gamma_1 \rightarrow \gamma_2, \quad \gamma_2 \rightarrow -\gamma_1$

$$ightharpoonup s_1 s_2 = -1$$

non-Abelian statistics even without vortices

Braiding rule of Majorana zero modes :

$$\gamma_i \rightarrow \gamma_j, \quad \gamma_j \rightarrow -\gamma_i$$

Exchange (braiding) operator

$$\gamma_i$$
 γ_j

$$U_{ij} = \exp\left(-\frac{\pi}{4}\gamma_i\gamma_j\right) = \frac{1}{\sqrt{2}}(1-\gamma_i\gamma_j)$$

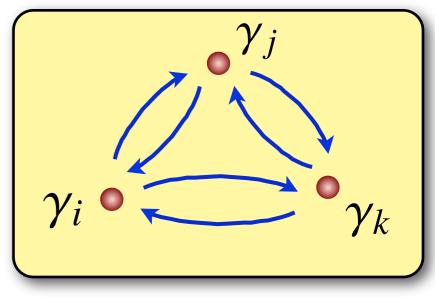
$$U_{ij}\gamma_i U_{ij}^{\dagger} = \gamma_j \qquad \qquad U_{ij}\gamma_j U_{ij}^{\dagger} = -\gamma_i$$

Non-commutativity of exchange (braiding) operation

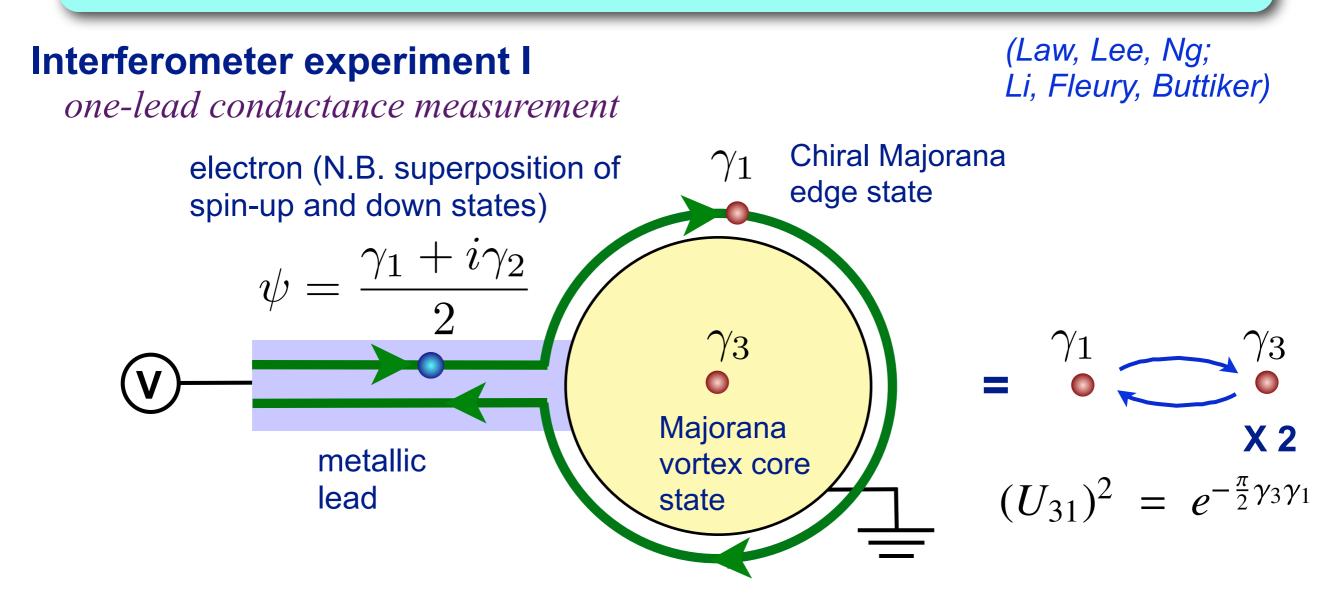
$$U_{ij}U_{jk} - U_{jk}U_{ij} = -\gamma_i\gamma_k = i(2n_{ik} - 1)$$

$$\neq 0$$

Non-Abelian character !



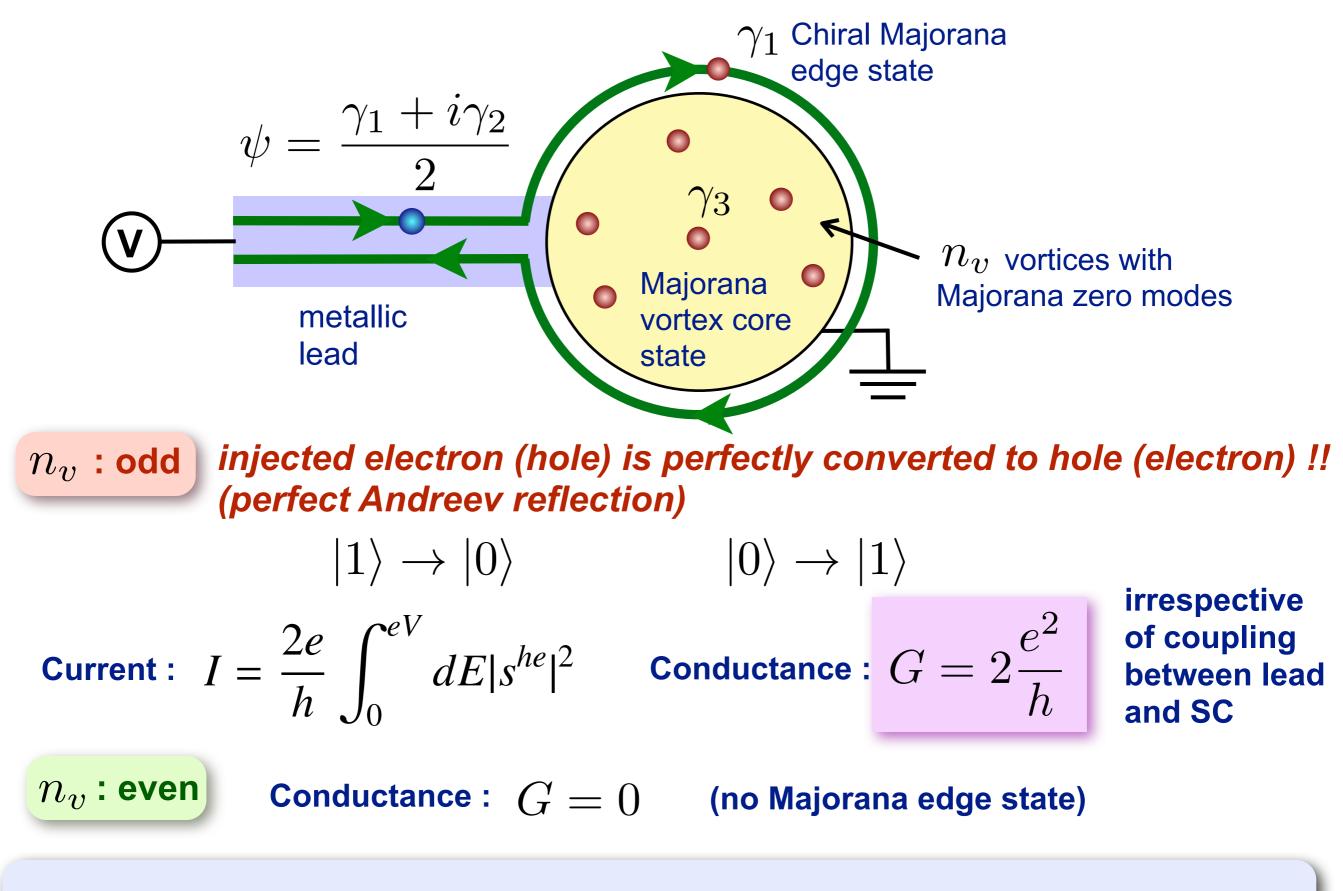
How to detect Non-Abelian statistics ? (2D class D top. SC)



State vector of injected electron (hole) : $|n_{12}
angle$ $n_{12}=\psi^{\dagger}\psi$

 γ_1 travels around γ_3 and $(U_{31})^2|1\rangle = |0\rangle$, $(U_{31})^2|0\rangle = -|1\rangle$ returns to original position

Injected electron (hole) is perfectly converted to hole (electron) !!



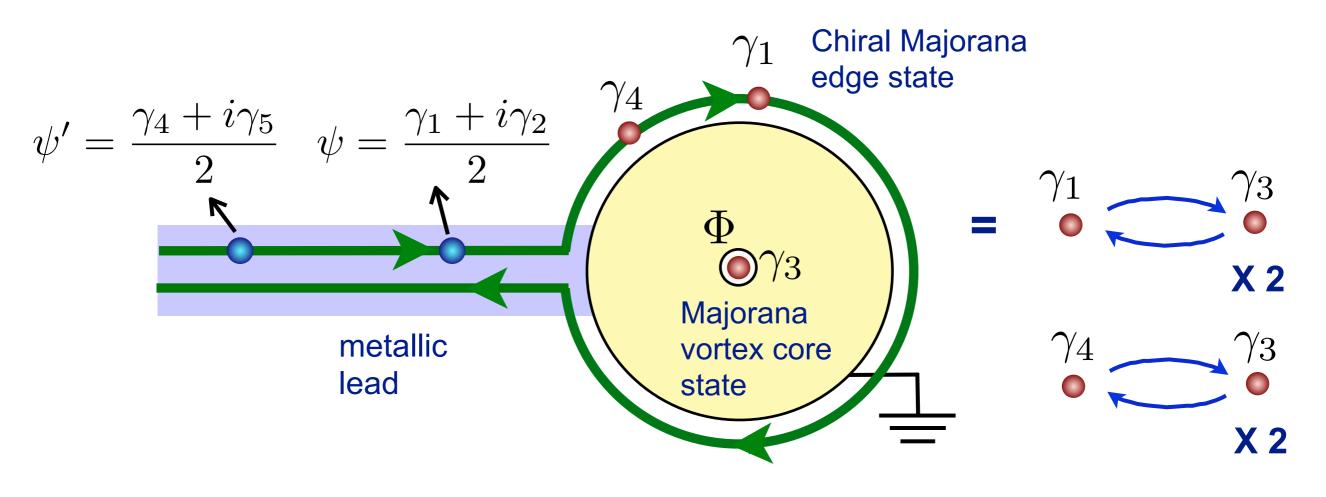
However, for realistic systems with multiple channels in the lead, electrons not coupled to chiral Majorana mode lead to non-quantized conductance

How to detect Non-Abelian statistics ?

Interferometer experiment II

vanishing of AB effect and AC effect

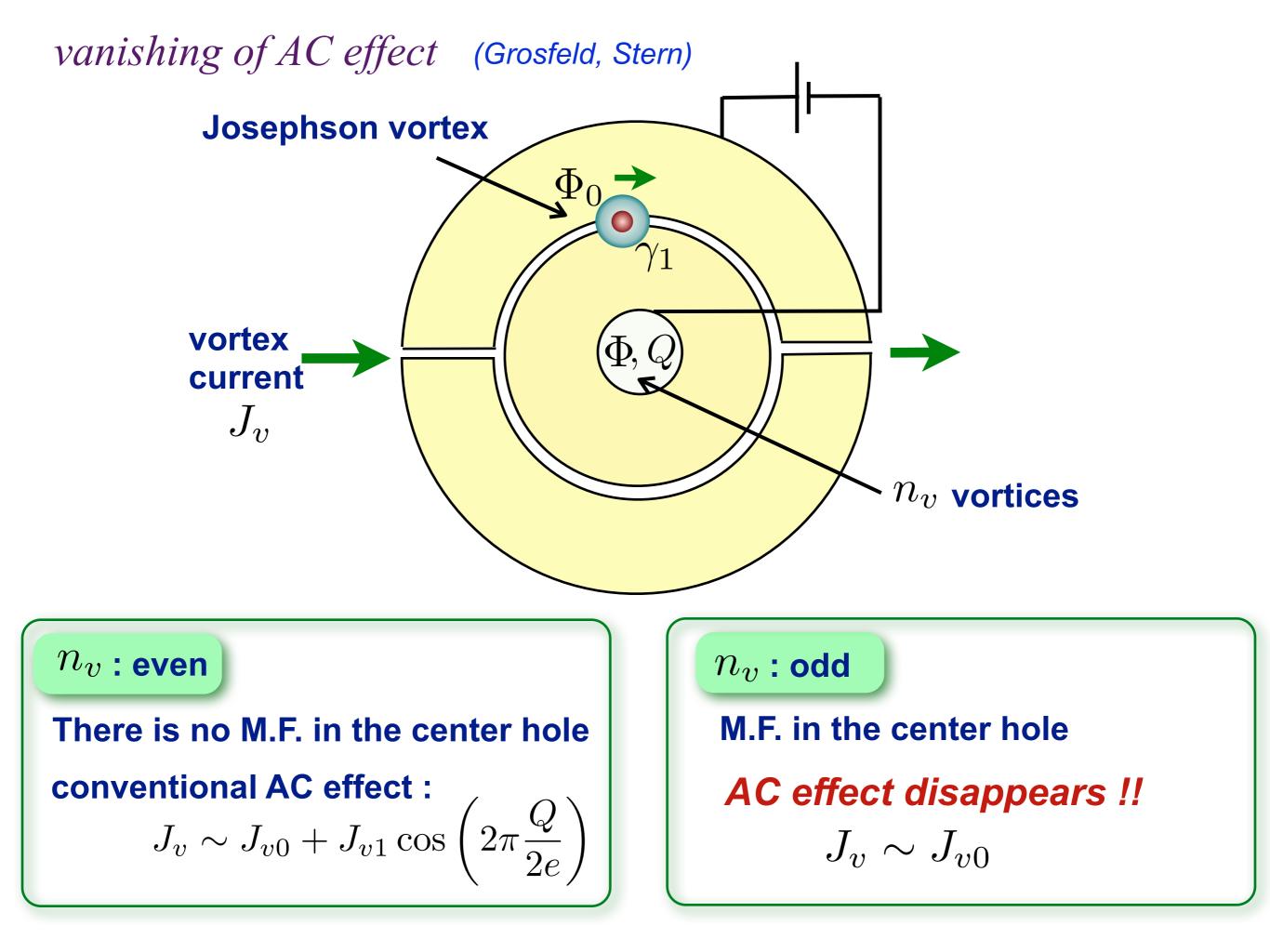
(Grosfeld, Stern; Stern, Halperin; Bonderson, Kitaev, Shtengel)



 $(U_{31})^2 = \gamma_1 \gamma_3$ and $(U_{43})^2 = \gamma_3 \gamma_4$ do not commute

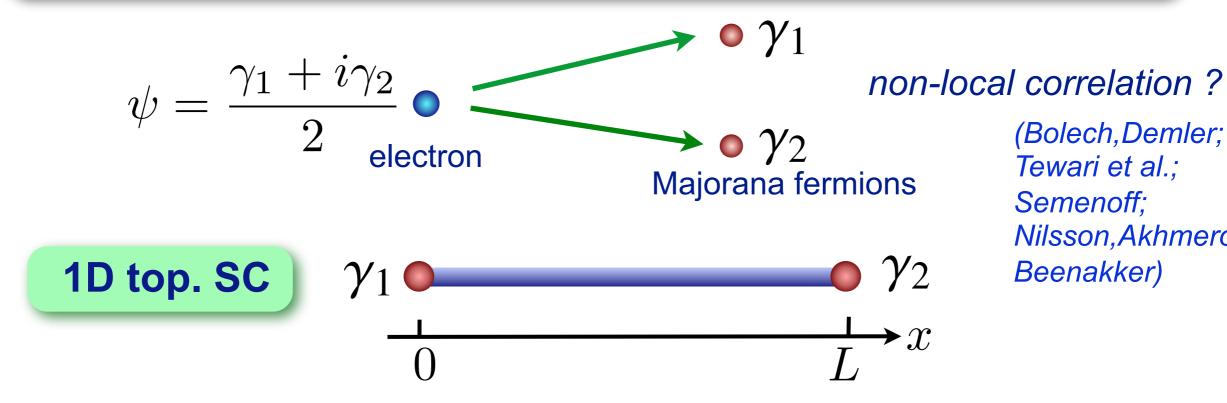
dephasing of intereference

vanishing of AB effect (but experimental detection is not clear)



Non-local correlation and "teleportation"

Splitting electrons into two M.F. and non-local correlation



(Bolech, Demler; Tewari et al.; Semenoff; Nilsson, Akhmerov, Beenakker)

Mode expansion of electron field :

$$\psi_{\sigma}(x) = \sum_{i=1,2} u_{\sigma i}(x)\gamma_i + (\text{non-zero energy modes})$$

correlation function of electrons :

 $\begin{array}{l} x \sim 0 \\ y \sim L \end{array}$

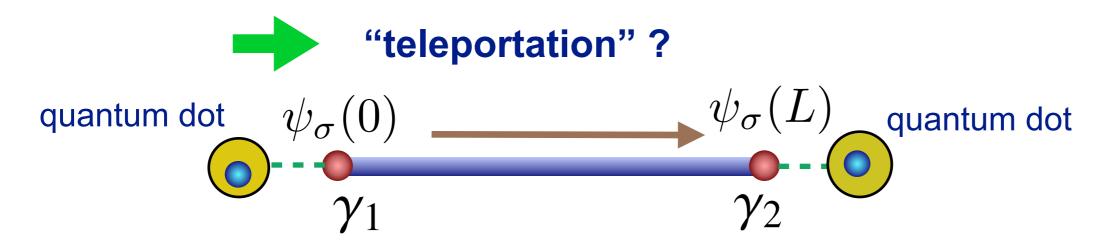
$$\psi_{\sigma}(x)\psi_{\sigma}^{\dagger}(y)\rangle \sim u_{\sigma 1}(x)u_{\sigma 2}^{*}(y)\langle \gamma_{1}\gamma_{2}\rangle$$

non-zero even for $|x - y| \rightarrow \infty$

non-local correlation !!

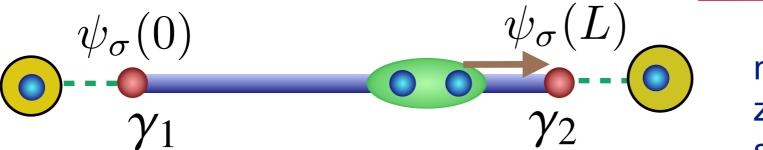
non-local correlation independent of distance !!

for $|x - y| \to \infty$ $\langle \psi_{\sigma}(x)\psi_{\sigma}^{\dagger}(y)\rangle \sim u_{\sigma 1}(x)u_{\sigma 2}^{*}(y)\langle \gamma_{1}\gamma_{2}\rangle \neq 0$



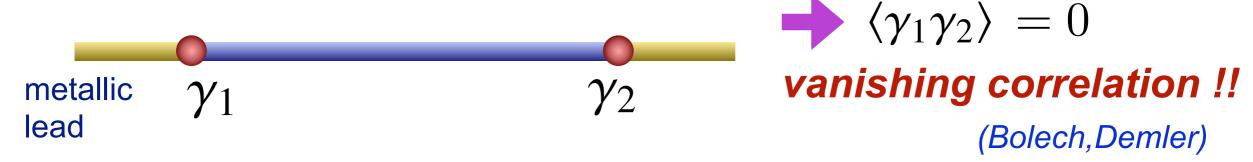
However ! problems arise !

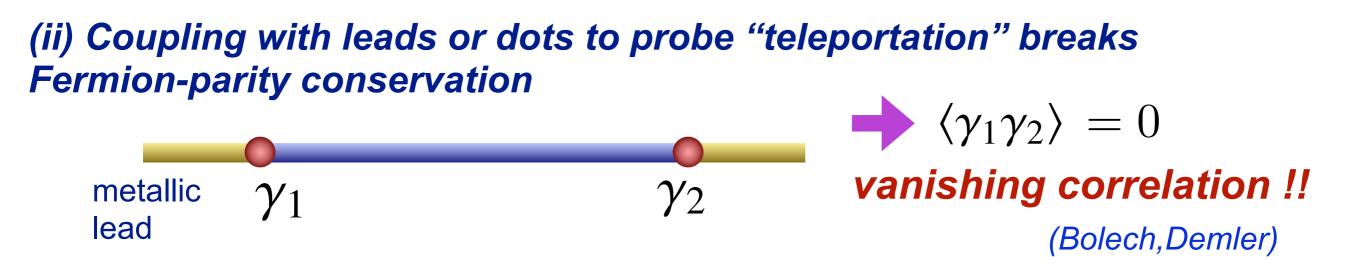
(i) An electron detected at x=L may come from breaking up a Cooper pair



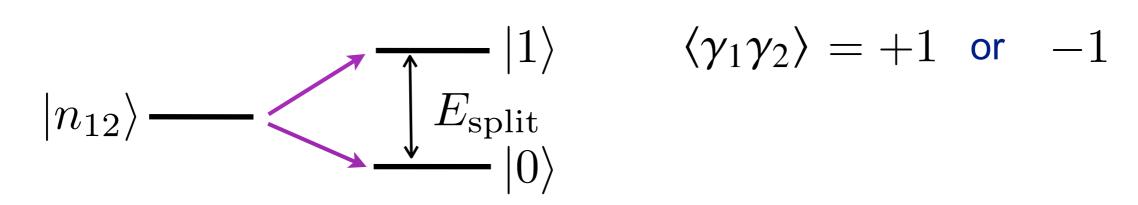
no energy cost, because zero-energy Majorana end states exist

(ii) Coupling with leads or dots to probe "teleportation" breaks Fermion-parity conservation





However, situation changes, when Fermion-parity degeneracy is lifted by overlap of Majorana-zero-mode wave functions.



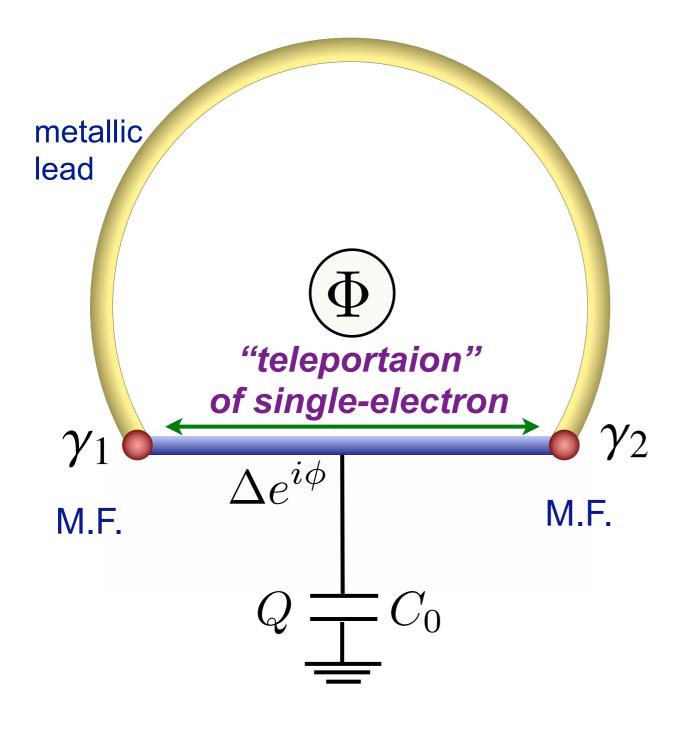
If E_{split} is larger than energy-scale of voltage applied on leads and T $\langle \psi_{\sigma}(x)\psi_{\sigma}^{\dagger}(y)\rangle \sim u_{\sigma 1}(x)u_{\sigma 2}^{*}(y)\langle \gamma_{1}\gamma_{2}\rangle \neq 0$ non-local correlation survives !! (Nilsson, Akhmerov, Beenakker)

Correlation does not depend on |x-y| explicitly, though overlap does

Non-local correlation and "teleportation" in mesoscopic SC

1D top. SC

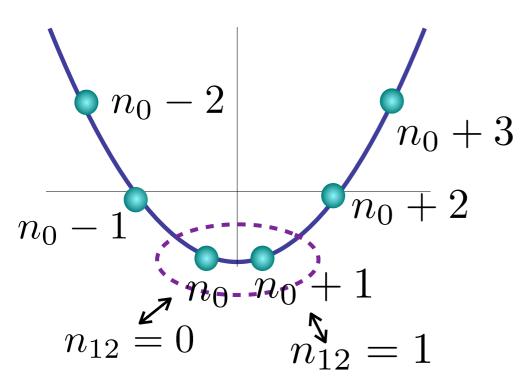
taking account of charging energy $Q^2/(2C_0)$ (Liang Fu) and fluctuation of SC phase $\,\phi$



AB effect with period $\frac{h}{e}$ (not $\frac{h}{2e}$!!)

even for sufficiently large length of SC wire

charging energy : $Q^2/(2C_0)$



truncating Hilbert space

 $n_{0}: \# \text{ of } \qquad \gamma_{1} \qquad Q \perp C_{0} \qquad \gamma_{2}$ in SC $Permion-parity \text{ degeneracy}: n_{12} = 0, \text{ or } 1$ $n_{12} = \psi_{12}^{\dagger}\psi_{12} \qquad \psi_{12} = (\gamma_{1} + i\gamma_{2})/2$ $[n_{12}, e^{\pm i\frac{\phi}{2}}] = \pm e^{\pm i\frac{\phi}{2}} \quad (\text{c.f. } [S^{z}, S^{\pm}] = \pm S^{\pm})$

$$e^{\pm irac{\phi}{2}}$$
 raising and lowering n_{12}

$$e^{i\frac{\phi}{2}}|0\rangle = |1\rangle, \quad e^{-i\frac{\phi}{2}}|1\rangle = |0\rangle,$$
$$e^{i\frac{\phi}{2}}|1\rangle = 0, \quad e^{-i\frac{\phi}{2}}|0\rangle = 0.$$

Furthermore, $e^{\pm i \frac{\varphi}{2}}$ does not commute with Majorana fields γ_1 , γ_2

$$[\gamma_1, e^{\pm i\frac{\phi}{2}}] = \pm (-1)^{n_{12}}$$

$$[\gamma_2, e^{\pm i\frac{\phi}{2}}] = -i(-1)^{n_{12}}$$

Tunneling of electrons from leads into SC via M.F.

Mode expansion of electron field :

$$\psi_{\sigma}(x) = \sum_{i=1,2} u_{\sigma i}(x) \gamma_i e^{-i\frac{\phi}{2}} + (\text{non-zero energy modes})$$

Tunneling Hamiltonian at x=0 and L :

$$H_T = \sum_{k,\sigma} [V_{k\sigma 1} c_{k\sigma}^{\dagger} \gamma_1 e^{-i\frac{\phi}{2}} + V_{k\sigma 2} c_{k\sigma}^{\dagger} \gamma_2 e^{-i\frac{\phi}{2}} \rightarrow \sum_{k,\sigma} \sqrt{2} [V_{k\sigma 1} c_{k\sigma}^{\dagger} f - i(-1)^{n_{12}} V_{k\sigma 2} c_{k\sigma}^{\dagger} f$$

$$+V_{k\sigma1}^*\gamma_1c_{k\sigma}e^{i\frac{\phi}{2}}+V_{k\sigma2}^*\gamma_2c_{k\sigma}e^{i\frac{\phi}{2}}]+V_{k\sigma1}^*f^{\dagger}c_{k\sigma}+iV_{k\sigma2}^*f^{\dagger}c_{k\sigma}(-1)^{n_{12}}].$$

metallic

lead

 γ_1

independent of distance !!

 γ_2

 f, f^{\dagger}

 C_0

We introduce an operator :

also, related to γ_2

$$f = \frac{1}{\sqrt{2}} \gamma_1 e^{-i\frac{\phi}{2}}, \quad f^{\dagger} = \frac{1}{\sqrt{2}} e^{i\frac{\phi}{2}} \gamma_1$$

$$\frac{1}{\sqrt{2}}\gamma_2 e^{-i\frac{\phi}{2}} = -i(-1)^{n_{12}}f, \quad \frac{1}{\sqrt{2}}e^{i\frac{\phi}{2}}\gamma_2 = if^{\dagger}(-1)^{n_{12}}$$

$$f = \frac{1}{\sqrt{2}} \gamma_1 e^{-i\frac{\phi}{2}}, \quad f^{\dagger} = \frac{1}{\sqrt{2}} e^{i\frac{\phi}{2}} \gamma_1$$

really conventional (complex) fermion or not ?

 $f = \frac{1}{\sqrt{2}} e^{-i\frac{\phi}{2}} \gamma_1$

 $f^2|1\rangle = 0, \ (f^{\dagger})^2|1\rangle = 0$

$$ff^{\dagger} + f^{\dagger}f = 1 \quad \checkmark$$
However $f^{2} = 0$, $(f^{\dagger})^{2} = 0$ hold only under a certain condition
we need finite overlap between two M.F.
 $e^{i\frac{\phi}{2}}|0\rangle = |1\rangle$, $e^{-i\frac{\phi}{2}}|1\rangle = |0\rangle$,
 $e^{i\frac{\phi}{2}}|1\rangle = 0$, $e^{-i\frac{\phi}{2}}|0\rangle = 0$.
 $[\gamma_{1}, e^{\pm i\frac{\phi}{2}}] = \pm (-1)^{n_{12}}$
 $[\gamma_{2}, e^{\pm i\frac{\phi}{2}}] = -i(-1)^{n_{12}}$
When degeneracy is lifted by overlap,
 $f^{2}|0\rangle = 0$, $(f^{\dagger})^{2}|0\rangle = 0$ \checkmark
 $f^{2}|1\rangle = -\frac{1}{2}|1\rangle$ $(f^{\dagger})^{2}|1\rangle = -\frac{1}{2}|1\rangle$ $(f^{\dagger})^{2}|1\rangle = -\frac{1}{2}|1\rangle$

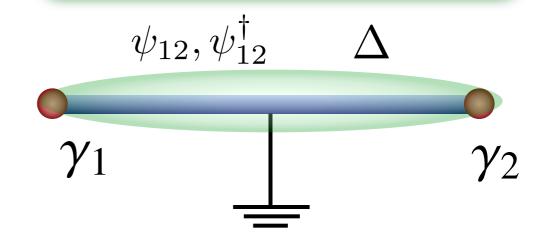
When degeneracy is lifted by overlap, f is fermion within the space of $|0\rangle$

$$|n_{12}\rangle = \frac{|1\rangle}{\sum_{E_{\text{split}}} |0\rangle} \frac{\gamma_1}{\gamma_2}$$

not grounded (with charging energy, phase fluctuation)

$$\begin{array}{ccc} f, f^{\dagger} & \Delta e^{i\phi} \\ \gamma_{1} & Q \stackrel{I}{=} C_{0} & \gamma_{2} \\ \end{array}$$

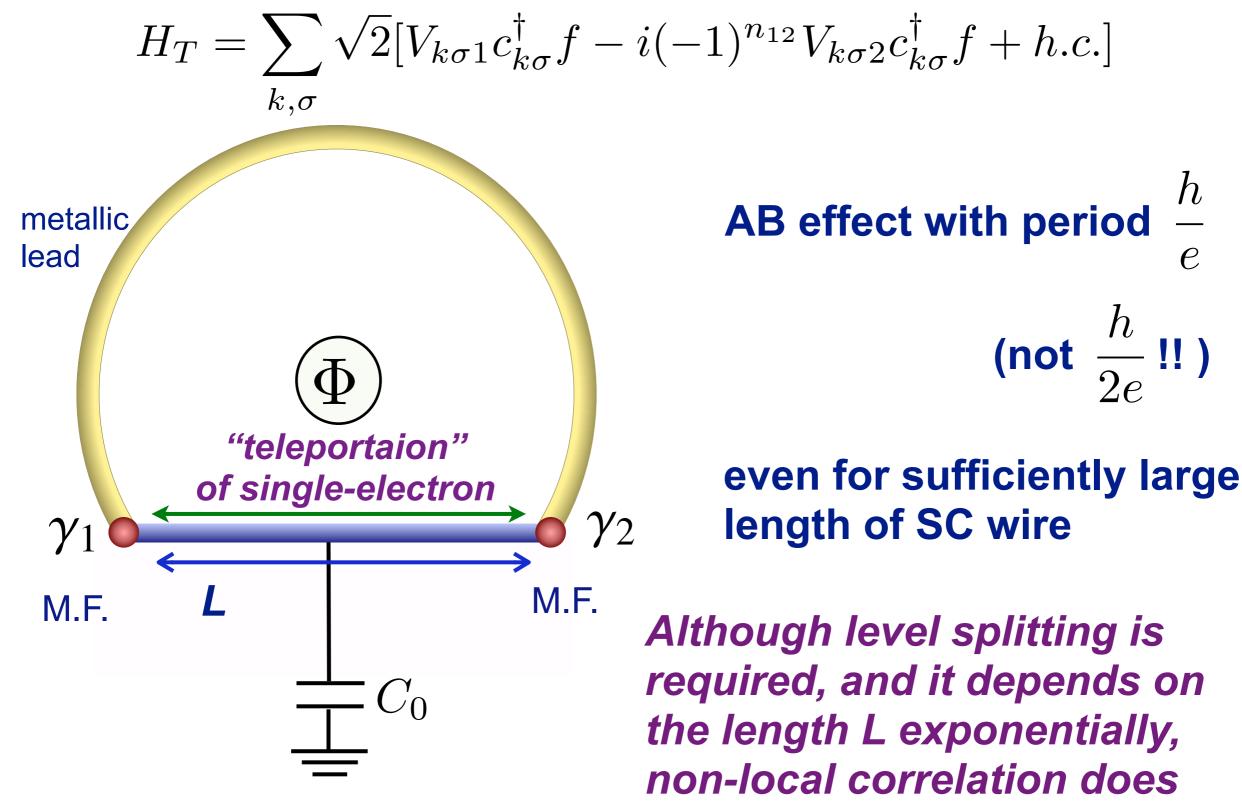
grounded (no charging energy, no phase fluctuation)



Tunneling Hamiltonian : $H_T = \sum_{k,\sigma} \sum_{i=1,2} [V_{k\sigma i} c^{\dagger}_{k\sigma} \gamma_i e^{-i\phi/2} + h.c.]$ $= \sum_{k,\sigma} \sqrt{2} [V_{k\sigma 1} c^{\dagger}_{k\sigma} f - i(-1)^{n_{12}} V_{k\sigma 2} c^{\dagger}_{k\sigma} f$ $+ V^*_{k\sigma 1} f^{\dagger} c_{k\sigma} + i V^*_{k\sigma 2} f^{\dagger} c_{k\sigma} (-1)^{n_{12}}].$

Tunneling Hamiltonian : $H_T = \sum \sum \left[V_{k\sigma i} c^{\dagger}_{k\sigma} \gamma_i + h.c. \right]$ $k.\sigma i=1.2$ $=\sum [V_{k\sigma}c^{\dagger}_{k\sigma}\psi_{12} + V_{k\sigma}c^{\dagger}_{k\sigma}\psi^{\dagger}_{12}]$ $k.\sigma$ $+V_{k\sigma}^*\psi_{12}^\dagger c_{k\sigma} + V_{k\sigma}^*\psi_{12}c_{k\sigma}$ $\psi_{12} = (\gamma_1 + i\gamma_2)/2$ **Andreev** scattering $V_{k\sigma} = V_{k\sigma 1} - iV_{k\sigma 2}$

AB effect due to "teleportation" via Majorana fermions

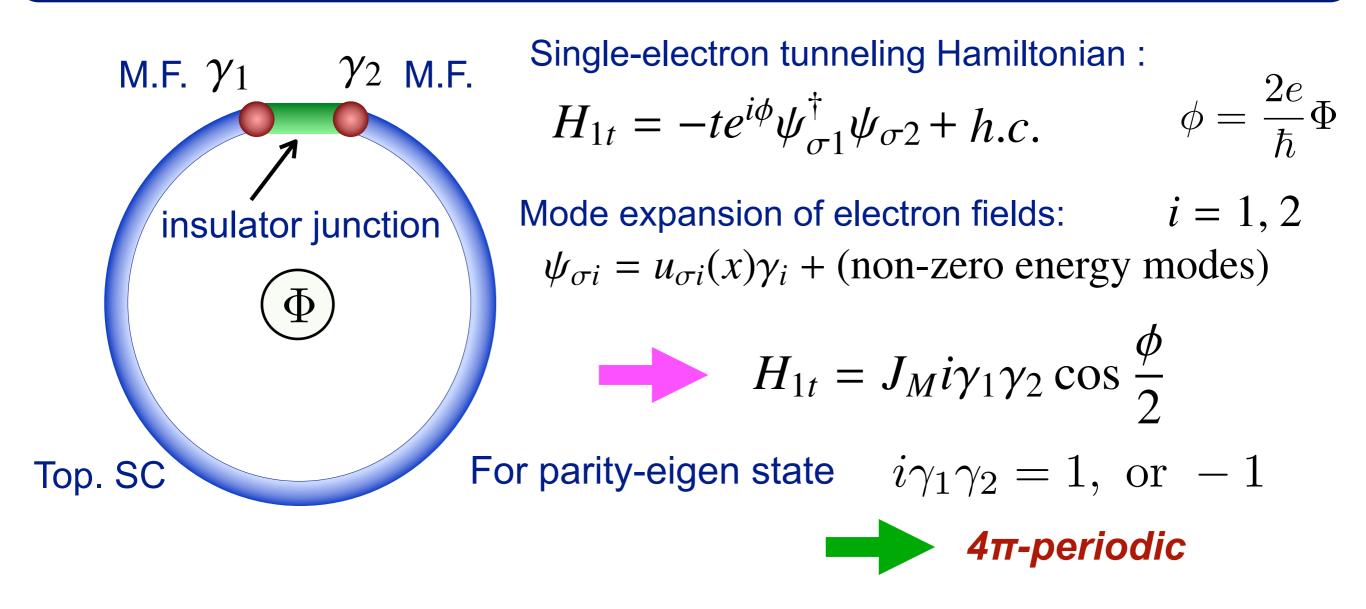


not depend on L explicitly !!

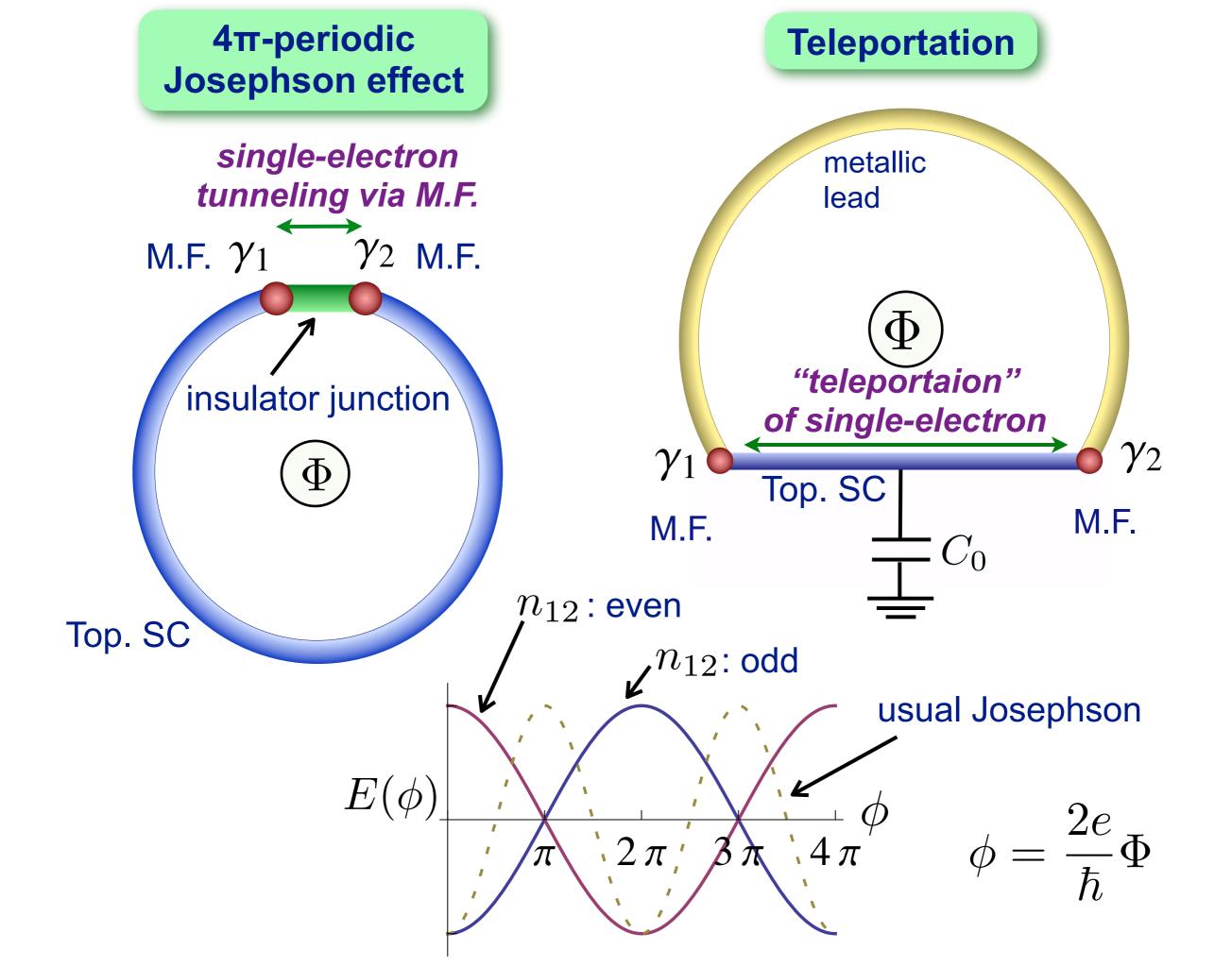
"Fractionalization" and 4π-periodic Josephson effect

$$\psi = \frac{\gamma_1 + i\gamma_2^{\text{electron}}}{2} \bullet \frac{\gamma_1}{\gamma_2}$$
 "Fractionalization" γ_2 Majorana fermions

4π-periodic (fractional) Cooper pairs with 2*e* split into Cooper pairs with *e* **Josephson effect :** *(Kwon, Senguputa, Yakovenko; Kitaev)*

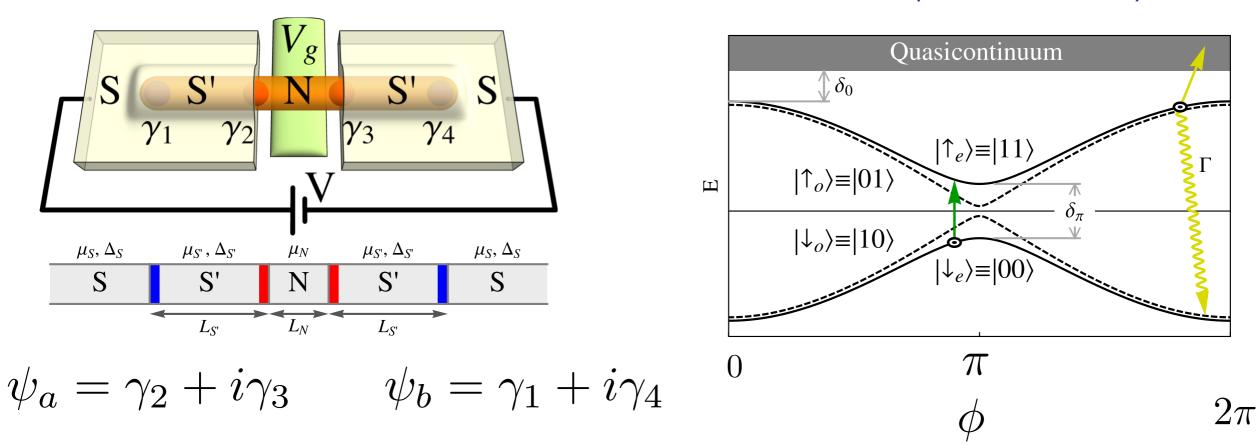


N.B. there is also usual Josephson tunneling with 2π -periodicity



AC 4π-periodic Josephson effect

(San-Jose et al.)



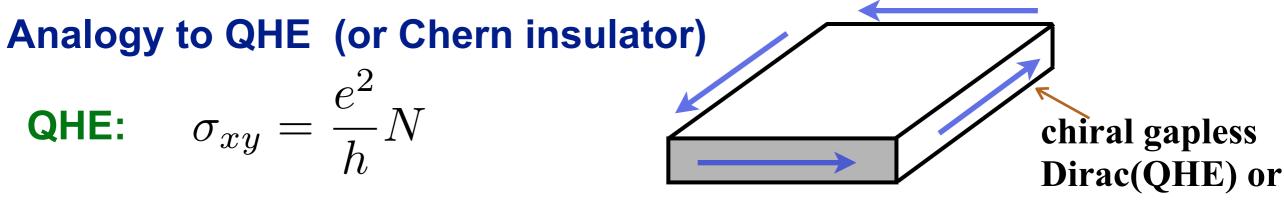
 4π -periodic Josephson effect is absent for finite size systems, because of admixture with two other Majorana end states

However, ac 4π -periodic Josephson effect is still possible, because of non-adiabatic transition induced by ac fields

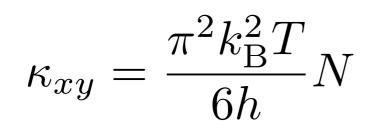
Experimentally detected ? Rokhinson et al., Nature Physics 8, 795 (2012) not yet convincing

Thermal Responses

Chiral superconductor with broken TRS (class D and C)



2D class D top. SC: charge is not conserved but, energy is still conserved Majorana (SC) edge mode



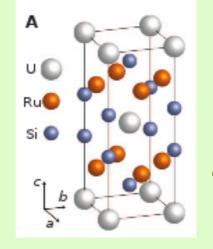
$\kappa_{xy} = \frac{\pi^2 k_{\rm B}^2 T}{6h} N$ quantum anomalous thermal Hall effect

(Read, Green; Nomura et al.; Sumiyoshi, S.F.)



URu₂Si₂

Chiral d+id SC (not topological)

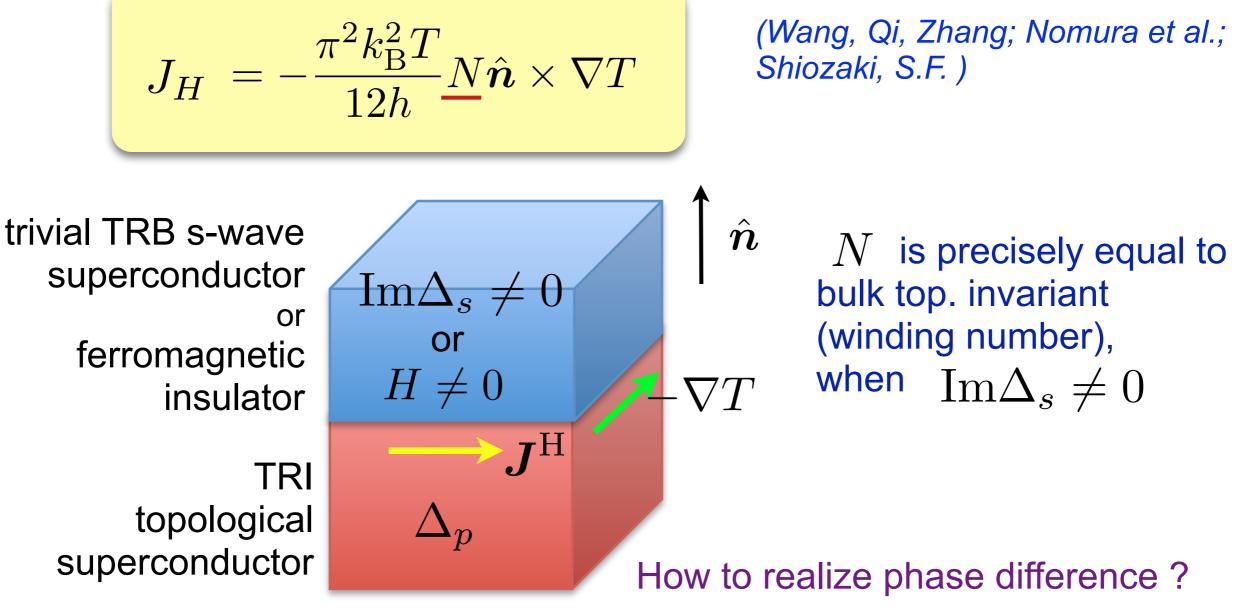


3D class C(trivial) not quantized but still nonzero spontaneous thermal Hall effect

(Y. Kasahara et al.)

TRI topological superconductor (class DIII)

Quantum anomalous thermal Hall effect



- bias between s-wave SC and TSC
- dynamical effect, $\operatorname{Im}\Delta_s(\omega) \neq 0$ for $\omega \neq 0$ due to inelastic scattering



Exotic phenomena associated with Majorana fermions in SC

- (i) Non-Abelian statistics
- (ii) Non-local correlation and "teleportation"
- (iii) Majorana fermion as "fractionalization" of electron
- (iv) Thermal responses

In particular, experimental detections of (i) and (ii) are the most important future issues